



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/822,835	04/02/2001	Koji Shibata	Q63810

CONFIRMATION NO. 8472

## FORMALITIES LETTER



\*OC00000006262975\*

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, DC 20037-3213

Date Mailed: 07/05/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/06/2001 NROCHA1 00000091 09822835

01 FC:101	710.00 OP
02 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

*MTB*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

105060-5822860



*Sector*  
*#*

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Koji SHIBATA

Appln. No.: 09/822,835

Group Art Unit: 2681

Confirmation No.: 8472

Examiner: Not Yet Assigned

Filed: April 02, 2001

For: BROADCAST RECEIVER

**SUBMISSION OF EXECUTED DECLARATION, ASSIGNMENT & FILING FEE**

**ATTN: BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed July 5, 2001, for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find a Submission of Formal Drawings and six (6) sheets of drawings, a Submission of Priority Document and one (1) priority document, an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$840.00 and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

SUGHRUE, MION, ZINN,  
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2100 Pennsylvania Avenue, N.W.  
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Telephone: (202) 293-7060  
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Date: September 5, 2001

*[Signature]* 41239  
Darryl Mexico  
Registration No. 23,063

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Koji SHIBATA

Appln. No.: 09/822,835

Confirmation No.: 8472

Filed: April 02, 2001

For: BROADCAST RECEIVER



Group Art Unit: 2681

Examiner: Not Yet Assigned

**SUBMISSION OF FORMAL DRAWINGS**


Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith please find six (6) sheets of formal drawings. The Examiner is respectfully requested to acknowledge receipt of these formal drawings.

Respectfully submitted,

SUGHRUE, MION, ZINN,  
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